#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	Group Art Unit: 1615
Barreca	Examiner: Susan Tran
Serial No.: Not yet assigned	<u>INFORMATION DISCLOSURE</u> STATEMENT
Filed: Herewith	"EXPRESS MAIL" MAILING LABEL NUMBER: EV 331287770 US
Atty. File No.: 3676-18-CON-3	DATE OF DEPOSIT: August 21, 2003
For: "FUNCTIONAL GUMS, LOZENGES" AND LOLLIPOPS"	I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.
Assistant Commissioner for Patents Washington, D.C. 20231	TYPED OR PRINTED NAME: Brenda Carpenter  SIGNATURE: Mada Carpenter

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449. The documents identified herein were of record in U.S. Patent Application Serial No. 10/316,700, and since the above-identified patent application is a continuation thereof, copies of the identified documents are not being submitted under 37 CFR § 1.98(d). Applicant does not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

SHERIDAN KOSS

By: Loseph E. Kovarik

Registration No. 33,005 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141

(303) 863-9700

Date: 8/2//03

SHEET	1	OF	4

FORM PTO-1449

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY. DOCKET NO. 3676-18-CON-3	SERIAL NO. Not yet assigned	
APPLICANT Barreca		
FILING DATE	GROUP ART	

#### **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA	4,156,740	5/29/79	Glass et al.	426	3	-
	AB	4,157,402	6/5/79	Ogawa et al.	426	5	
	AC	4,223,023	9/16/80	Furda	424	180	
	AD	4,250,196	2/10/81	Friello	426	5	
	AE	4,292,329	9/29/81	Ogawa et al.	426	5	
	AF	4,316,915	2/23/82	Friello et al.	426	5	
	AG	4,400,372	8/23/83	Muhler et al.	424	48	
	AH	4,466,983	8/21/84	Cifrese et al.	426	5	
	Al	4,512,968	4/1985	Komiyama et al.	424	48	
	AJ	4,513,012	4/23/85	Carroll et al.	426	5	
	AK	4,642,235	2/10/87	Reed et al.	426	5	
	AL	4,647,450	3/3/87	Peters et al.	424	48	
	AM	4,683,138	7/28/87	Glass et al.	426	5	
	AN	4,738,850	4/19/88	Thakur et al.	424	468	
	AO	5,846,952	12/8/98	Vournakis et al.	514	55	
	AP	4,792,453	12/20/88	Reed et al.	426	5	
	AQ	4,963,367	10/16/90	Ecanow	424	485	
	AR	4,975,288	12/4/90	Hager et al.	426	5	
	AS	4,980,178	12/25/90	Cherukuri et al.	426	5	
	AT	5,078,460	1/7/92	Holsinger	312	244	
	AU	5,125,819	6/30/92	Hager et al.	425	133.1	

EXAMINER	DATE COMPRESSED
	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY. DOCKET NO.
3676-18-CON-3

APPLICANT
Barreca

FILING DATE
Herewith

GROUP ART
1615

					1	Ι	
	AV	5,248,508	9/28/93	Reed et ala.	426	5	
	AW	5,344,659	9/1994	Kurihara et al.	426	3	
	AX	5,409,905	4/25/95	Eby, III	514	23	
	AY	5,431,929	7/11/95	Yatka et al.	426	3	
	AZ	5,474,989	12/12/95	Hashimoto et al.	514	55	
	AAA	5,498,429	3/12/96	Orlandi et al.	426	5	
	ABB	5,569,477	10/29/96	Nesbitt	426	5	
	ACC	5,736,175	4/7/98	Cea et al.	426	6	
	ADD	5,747,475	5/5/98	Nordquist et al.	514	55	
	AEE	5,798,101	8/25/98	Haveson	424	730	
	AFF	5,824,291	10/20/98	Howard	424	48	
	AGG	5,827,526	10/27/98	Dohnalek et al.	424	440	
	АНН	5,830,883	11/3/98	Block et al.	514	55	
	All	5,834,002	11/10/98	Athanikar	424	440	
	AJJ	5,846,557	12/8/98	Eisenstadt et al.	424	439	
	AKK	5,858,423	1/12/99	Yajima et al.	426	3	
	ALL	5,866,179	2/2/99	Testa	426	3	
	АММ	5,880,109	3/9/99	Nakaura et al.	514	55	
•	ANN	5,885,630	3/23/99	Zurawski et al.	426	5	
	A00	5,891,422	4/6/99	Pan et al.	424	49	
	APP	5,900,230	5/4/99	Cutler	424	49	
	AQQ	5,910,308	6/8/99	D'Jang	424	195.1	
	ARR	5,912,030	6/15/99	Huzinec et al.	426	3	
	ASS	5,916,606	6/29/99	Record et al.	426	3	
	ATT	5,922,347	7/13/99	Hausler et al.	424	441	

EXAMINER	DATE CONSIDERED
----------	-----------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1615

**FORM PTO-1449** 

### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY. DOCKET NO.
3676-18-CON-3

APPLICANT
Barreca

FILING DATE

SERIAL NO.
Not yet assigned

GROUP ART

	AUU	5,945,107	8/31/99	Hessel et al.	424	728	
	AVV	6,165,516	12/26/00	Gudas et al.	424	003	
·	AWW	6,224,872	5/1/01	Shibuya et al.	424	195	
	AXX	6,350,480	2/26/02	Urnezis et al.	426	5	
	AYY	20020159956	10/31/02	Ream et al.	424	48	
	AZZ	20020127189	9/12/02	Myers et al.	424	48	
	AAAA	6,491,540	12/10/02	Barreca	439	440	
	ABBB	6,139,872	10/2000	Walsh	424	464	
	ACCC	6,248,378	6/2001	Ganan-Calvo	426	89	
	ADDD	US 2002/0164398A	11/2002	Johnson et al.	426	3	

Herewith

#### FOREIGN PATENT DOCUMENTS

					SUB	TRANSL	ATION	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	CLASS	YES	NO
	AEEE	S59-025646	2/9/84	Japan			х	
	AFFF	WO 98/23165	06/1998	World			х	
	AGGG							
<u> </u>	АННН		<u> </u>					

EXAMINER		DATE CONSIDERED	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET	4	OF	4

**FORM PTO-1449** 

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY. DOCKET NO. 3676-18-CON-3	SERIAL NO. Not yet assigned
APPLICANT Barreca	
FILING DATE  Herewith	GROUP ART

### OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AIII	Sato et al., "On Drowsiness Preventive Gum that Contains Guarana Extract", 1988, pages 1-11
 LLLA	The Sydney Morning Herald, "Smarter up your act," 9/11/99, 4 pages
AKKK	Web page for Xylident Guarana, 2 pages
 ALLL	The Food Channel newsletter, 8/30/96, Vol. 8, No. 16
 AMMM	Printout of Kuat guarana products, 1 page
ANNN	Printout of Herbalife "NRG" Gum (known as "Chew Slim Gum", 4 pages
A000	Morton JF. "Widspread tannin intake via stimulants and masticatories, especialy guarana, kola nut, betel vine, and accessories"; Basic Life Sci 1992; 59:739-65
 APPP	Miura et al. "Effect of guarana on exercise in normal and epinephrine-induced glycogenolytic mice"; Biol PHarm Bull, June 1998 21(6)646-8.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.